



**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT
BY ASSIGNEE OF RECORD**

Application Number	10/714,643
Filing Date	11/18/2003
First Named Inventor	Peter A. CROOKS
Title	ANALGESIC USES OF NORKETAMINE AND KETAMINE/NORKETAMINE PRODRUGS
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	320143.00102

I HEREBY APPOINT:

☒ Practitioners at Customer Number

27160

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

PLEASE CHANGE THE CORRESPONDENCE ADDRESS FOR THE ABOVE-IDENTIFIED APPLICATION TO:

☒ The above-mentioned Customer Number

OR


☐ Practitioners at Customer Number

OR

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Country					
Telephone		Fax			

**I AM THE ASSIGNEE OF RECORD OF THE ENTIRE INTEREST. SEE 37 CFR 3.71.
A STATEMENT UNDER 37 CFR 3.73(b) IS ENCLOSED.**

SIGNATURE OF ASSIGNEE OF RECORD

Name	ROBERT ALONSO, President & CEO YANFEN THERAPEUTICS
Signature	
Date	7-1-04

NOTE: Signatures of **ALL** of the assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. If submitting multiple forms, complete the following:

☒ A total of one Power of Attorney or Authorization of Agent forms are being submitted.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Peter A. CROOKS, et al.

Application/Patent No. 10/714,643

Filed 11/18/2003

Entitled: ANALGESIC USES OF NORKETAMINE AND KETAMINE/NORKETAMINE PRODRUGS

YAUPON THERAPEUTICS, INC., a corporation

(Name of Assignee)

(Type of Assignee - corporation, partnership, university, gov't agency, etc.)

STATE THAT IT IS:

1. ☒ the assignee of the entire right, title, and interest ; or
2. ☐ an assignee of less than the entire right, title and interest. The extent of its ownership interest is _____% (by percentage)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the U. S. Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the U. S. Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the U. S. Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the U. S. Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to the Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: 7-1-04

Typed or
printed name ROBERT ALONSO

Signature: 

Title: CEO

ASSIGNMENT
(JOINT)

405 WEST BRANNON RD
NICHOLASVILLE, KY 40356
652 Beach Rd, Sarasota
FL 34242

THIS ASSIGNMENT, by PETER A. CROOKS residing at ~~3233 Raven Circle, Lexington, Kentucky 40502~~ and MIGUEL RIVERA residing at ~~8946 Royal Lytham Avenue, Bradenton, Florida 34202~~ (hereinafter referred to as "the Assignor(s)"), witnesseth: ~~652 Beach Rd, Sarasota FL 34242~~

WHEREAS, the Assignors have invented certain new and useful improvements in ANALGESIC USES OF NORKETAMINE AND KETAMINE/NORKETAMINE PRODRUGS set forth in an application for Letters Patent of the United States,

(1) ☐ which is a provisional application

- ☐ bearing Application No. ENTER APPLN. NO., and filed on ENTER FILING DATE;
☐ to be filed herewith;

or

(2) ☒ which is a non-provisional application

- ☒ bearing Application No. 10/714,643, and filed on 11/16/2003;
☐ having an oath or declaration executed on even date herewith prior to filing of application;
☐ having an oath or declaration executed on a different date than this Assignment;

and

WHEREAS, YAUPON THERAPEUTICS, INC., a corporation having its principal place of business at 258 Radnor Chester Road, Suite 210, Radnor, Pennsylvania 19087 (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications; and releases and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;


AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;


AND the Assignors hereby authorize and request the attorneys of KATTEN MUECHN ZAVIS ROSENMAN of 1025 THOMAS JEFFERSON STREET, N.W., EAST LOBBY, SUITE 700, WASHINGTON, D.C. 20007-5201 to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known;

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date: 7/9/04 Signature of Assignor:


PETER A. CROOKS

Date: 7/9/04 Signature of Assignor:


MIGUEL RIVERA